

CORPORATE TRANSPARENCY ACT

Overview

Effective January 1, 2024, the Corporate Transparency Act (CTA) requires approximately 32 million existing corporations, limited liability companies, and other business entities formed or registered to do business in the United States (US), as well as many of the approximately 5 million such entities newly formed each year going forward, to electronically file a beneficial ownership information report (BOI Report) with the US Department of Treasury's Financial Crime Enforcement Network (FinCEN). The CTA and the disclosure obligations it imposes upon reporting companies is designed to help safeguard US national security and provide law enforcement agencies with an additional tool to fight a variety of financial crimes. Non-compliance with the CTA's beneficial ownership information reporting requirements may result in civil and/or criminal penalties.

As a result of this new Federal requirement, it is anticipated that reporting companies and their beneficial owners will have questions regarding the new beneficial ownership information reporting requirements. Mirick O'Connell's Business Group has established this landing page to assist reporting companies and their beneficial owners with the various aspects of the beneficial ownership information reporting under the CTA.

Please feel free to contact Attorneys [Jeffrey E. Swalm](#), [Jennifer Z. Flanagan](#), or [Zachary B. Luczyk](#) if you have any questions.

Mirick O'Connell Resources:

Corporate Transparency Act
Client Alert

Navigating the FinCEN website
**Step-by-Step Guide: Filing a
Beneficial Ownership Information Report**



Corporate Transparency Act
Q & A

Navigating the FinCEN website
Step-by-Step Guide: Obtaining a FinCEN ID

FinCEN Resources:

Beneficial Ownership Information
Homepage

Reporting Requirements
**Small Entity
Compliance Guide**

Beneficial Ownership Information
Video presented by FinCEN

Click Here to File
your Beneficial Ownership
Information Report

Beneficial Ownership Information
Filing Instructions

Beneficial Ownership Information
Create a FinCEN ID

Who Has Access
to your company's
Beneficial Ownership Information
Report?

Is your company exempt
from the Beneficial Ownership
Reporting Requirements?

Select "Click Here to File"
hyperlink

OUR PRACTICES

Alternative Dispute Resolution

Business

Business Overview

► Corporate Transparency Act

Employee Benefits and Executive Compensation

Financing

Mergers and Acquisitions

Securities and Venture Capital

Tax

Construction Law

Creditors' Rights, Bankruptcy and Reorganization

Education Law

Family Law and Divorce

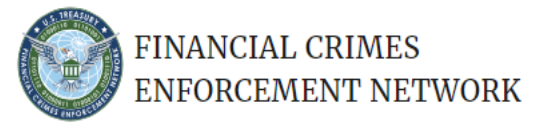
Health Law

Individual Client Services

Intellectual Property


Labor, Employment and Employee Benefits


Litigation





Welcome to the BOI E-Filing System

The BOI E-Filing System supports the electronic filing of the Beneficial Ownership Information Report (BOIR) under the Corporate Transparency Act (CTA). The CTA requires certain types of U.S. and foreign entities to report beneficial ownership information to the Financial Crimes Enforcement Network (FinCEN), a bureau of the U.S. Department of the Treasury.


File BOIR
Need to file a BOIR?
Click here!


Help
Looking for more
information? Click here

 Beneficial Ownership Information (BOI) Reporting
Need to file a BOIR? Click here! [GET STARTED](#)

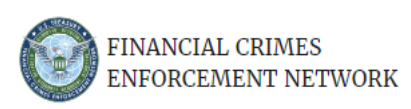
 Bank Secrecy Act (BSA) Reporting
Need to file another type of BSA report? Click here! [BSA E-FILING](#)

Newsroom & Announcements

Coming soon ...

Select "File BOIR"





File the Beneficial Ownership Information Report (BOIR)


Select the filing method that works best for you:



File PDF BOIR

- Adobe Reader is required
- Prepare report offline at your own pace, save as you go
- Reuse PDF BOIR when filing updates/corrections
- Download BOIR transcript upon submission

[Prepare BOIR](#) [Submit BOIR](#)




File Online BOIR

- Adobe Reader NOT required
- Prepare report online and submit now
- Prepare new Online BOIR when filing updates/corrections
- Download BOIR transcript upon submission

[Prepare & Submit BOIR](#)

FinCEN also offers system-to-system BOIR transmission via secure Application Programming Interface (API) for those, including third-party service providers, who are interested in automating the BOIR filing process:



System-to-System API

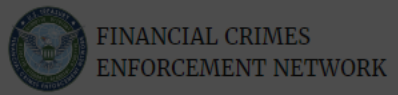
If you are interested in this method of filing, select the button below to contact FinCEN and one of our representatives will reach out.

[Contact FinCEN for API Information](#)

A Reporting Company may file their BOIR directly on the web

Alternatively, a Reporting Company may populate a PDF BOIR which can be found here

Submit completed PDF BOIR here

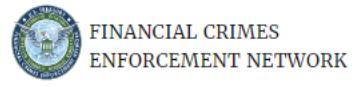


Beneficial Ownership Information Report (BOIR)

WARNING!

You are entering a U.S. Government information system maintained, administered, and operated by the U.S. Department of the Treasury. This information system may only be used for authorized purposes. The U.S. Government may monitor, record, and audit all activity on this information system. By accessing and using this system, users are consenting to U.S. Government monitoring, recording, and auditing of their activity on this information system.

Select "I Agree"



Beneficial Ownership Information Report (BOIR)

OMB No. 1506-0076

Complete the report in its entirety with all required information. Fields marked with an asterisk (*) symbol are the fields that, at a minimum, must be completed by all companies to file the report. Reporting companies, however, must include all information 31 CFR 1010.380(b) requires them to provide, regardless of whether a field is marked with an asterisk (*) symbol. These information requirements are summarized above under HELP.

- Filing Information
- Reporting Company
- Company Applicant(s)
- Beneficial Owner(s)
- Submit

Filing Information

Need help? [dropdown arrow]

- *1. Type of filing:
- a. Initial report
 - b. Correct prior report
 - c. Update prior report
 - d. Newly exempt entity

Date prepared (auto-filled)
01/02/2024

Previous [button] Next [button]

PRIVACY ACT AND PAPERWORK REDUCTION ACT NOTICE

This notice is given under the Privacy Act of 1974 (Privacy Act) and the Paperwork Reduction Act of 1995 (Paperwork Reduction Act). The Privacy Act and Paperwork Reduction Act require that FinCEN inform persons of the following when requesting and collecting information in connection with this collection of information.

This collection of information is authorized under 31 U.S.C. 5336 and 31 C.F.R. 1010.380. The principal purpose of this collection of information is to generate a database of information that is highly useful in facilitating national security, intelligence, and law enforcement activities, as well as compliance with anti-money laundering, countering the financing of terrorism, and customer due diligence requirements under applicable law. Pursuant to 31 U.S.C. 5336 and 31 C.F.R. 1010.380, reporting companies and certain other persons must provide specified information. The provision of that information is mandatory and failure to provide that information may result in criminal and civil penalties. The provision of information for the purpose of requesting a FinCEN Identifier is voluntary; however, failure to provide such information may result in the denial of such a request.

Select appropriate "Type of filing"



Once completed, select "Next"




Beneficial Ownership Information Report (BOIR)

OMB No. 1506-0076

Complete the report in its entirety with all required information. Fields marked with an asterisk (*) symbol are the fields that, *at a minimum*, must be completed by all companies to file the report. Reporting companies, however, must include all information 31 CFR 1010.380(b) requires them to provide, regardless of whether a field is marked with an asterisk (*) symbol. These information requirements are summarized above under HELP.


Filing Information Reporting Company Company Applicant(s) Beneficial Owner(s) Submit

Part I. Reporting Company Information

 Need help?

- 3. Request to receive FinCEN ID
- 4. Foreign pooled investment vehicle

Legal name and alternate name(s)

 Need help?

*5. Reporting Company legal name

6. Alternate name (e.g. trade name, DBA)

[Add Alternate Name](#)

Fill out Part I

**Optional: Obtain a FinCEN ID
for the Reporting Company**

* Obtaining a FinCEN ID for the Reporting Company allows a Reporting Company to review its current BOIR and seamlessly update relevant sections of its current BOIR. If a Reporting Company does not obtain a FinCEN ID, the Reporting Company is required to fill out this application for any updated or corrected BOIRs.

Part I Continued

Form of identification

[Need help?](#)

*7. Tax Identification type

Select an ID type

*8. Tax Identification number

9. Country/Jurisdiction (if foreign tax ID only)

Select a country

Jurisdiction of formation or first registration

[Need help?](#)

*10. a. Country/Jurisdiction of formation

Select a country

Current U.S. address

[Need help?](#)

*11. Address (number, street, and apt. or suite no.)

*12. City

*13. U.S. or U.S. Territory

Select a country

*14. State

Select a state

*15. ZIP code

[Previous](#)

[Next](#)

Beneficial Ownership Information Report (BOIR)

OMB No. 1506-0076

Complete the report in its entirety with all required information. Fields marked with an asterisk (*) symbol are the fields that, *at a minimum*, must be completed by all companies to file the report. Reporting companies, however, must include all information 31 CFR 1010.380(b) requires them to provide, regardless of whether a field is marked with an asterisk (*) symbol. These information requirements are summarized above under HELP.

Filing Information Reporting Company Company Applicant(s) Beneficial Owner(s)

[Need help?](#)

16. Existing reporting company
(check if existing reporting company as of January 1, 2024)

17. *(This item is reserved for future use)*

Part II. Company Applicant Information

[Need help?](#)

Company Applicant #1

Company applicant FinCEN ID

[Need help?](#)

18. FinCEN ID

If Mirick O'Connell assisted with the formation of a domestic Reporting Company or initial foreign qualification of a foreign Reporting Company, contact your Mirick O'Connell representative to obtain applicable FinCEN ID and insert here

[Add Company Applicant](#)

To add a company applicant, click "Add Company Applicant"

Reporting Company must identify at least 1, and no more than 2 company applicants

Beneficial Ownership Information Report (BOIR)

OMB No. 1506-0076

Complete the report in its entirety with all required information. Fields marked with an asterisk (*) symbol are the fields that, at a minimum, must be completed by all companies to file the report. Reporting companies, however, must include all information 31 CFR 1010.380(b) requires them to provide, regardless of whether a field is marked with an asterisk (*) symbol. These information requirements are summarized above under HELP.

34. (This item is reserved for future use)

Part III. Beneficial Owner Information

Add Beneficial Owner

[Need help?](#)

Beneficial Owner #1

[Need help?](#)

35. Parent/Guardian information instead of minor child
(check if the Beneficial Owner is a minor child and the parent/guardian information is provided instead)

Beneficial Owner FinCEN ID

[Need help?](#)

36. FinCEN ID

Exempt entity

[Need help?](#)

37. Exempt entity

Legal name and date of birth

[Need help?](#)

*38. Individual's last name or entity's legal name

*39. First name

40. Middle name

41. Suffix

*42. Date of birth

Complete Part III for each
of the Reporting Company's
Beneficial Owners

To add a Beneficial Owner,
select "Add Beneficial
Owner"

**Complete Part III for
each Beneficial
Owner**

Residential address

Need help?

*43. Address (number, street, and apt. or suite no.)

*44. City

*45. Country/Jurisdiction

*46. State

*47. ZIP/Foreign postal code

Form of identification and issuing jurisdiction

Need help?

*48. Identifying document type

*49. Identifying document number

*50. Identifying document issuing jurisdiction

a. Country/Jurisdiction

b. State

c. Local/Tribal

d. Other local/Tribal description

*51. Identifying document image

Drag file here or [choose from folder](#)

**Once all Beneficial
Owner Information is
populated, select
“Next”**

Previous

Next

Beneficial Ownership Information Report (BOIR)

OMB No. 1506-0076

Complete the report in its entirety with all required information. Fields marked with an asterisk (*) symbol are the fields that, *at a minimum*, must be completed by all companies to file the report. Reporting companies, however, must include all information 31 CFR 1010.380(b) requires them to provide, regardless of whether a field is marked with an asterisk (*) symbol. These information requirements are summarized above under HELP.

[Filing Information](#) [Reporting Company](#) [Company Applicant\(s\)](#) [Beneficial Owner\(s\)](#) [Submit](#)

Complete all fields below before submitting. Directly after submission, a processing page will be displayed, followed by a page confirming the status of your report. This confirmation page will also give you an opportunity to download a transcript of your report.

*Email

*Confirm Email


*First Name

*Last Name

***I certify that I am authorized to file this BOIR on behalf of the reporting company. I further certify, on behalf of the reporting company, that the information contained in this BOIR is true, correct, and complete.**

I agree

COMPLIANCE REMINDER: The willful failure to report complete beneficial ownership information to FinCEN, the willful failure to update beneficial ownership information provided to FinCEN when previously reported information changes, or the willful provision of false or fraudulent beneficial ownership information to FinCEN, may result in civil or criminal penalties. A person may also be subject to civil or criminal penalties for willfully causing a reporting company to report incomplete or false beneficial ownership information to FinCEN.

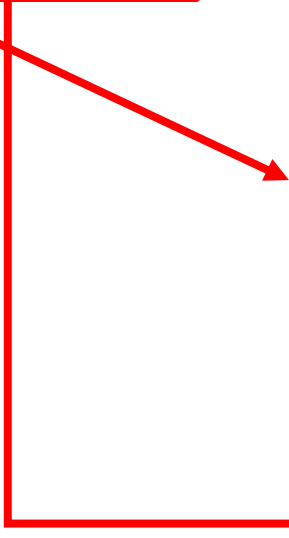
I am human 

Submit BOIR

Previous

Next

The individual preparing the BOIR must insert their information and certify the information included is true, correct and complete



Click "Submit" to file your Reporting Company's BOIR

